

HOW
TO

AML/CTF COMPLIANCE

Have you assessed your ML/TF risks on a regular basis and are they targeted to your venue?

ML/TF RISK ASSESSMENT



Have you kept your AML/CTF Program document up-to-date? Is it tailored for your venue?

AML/CTF PROGRAM



Are you lodging Suspicious Matter Reports (SMRs) to AUSTRAC when you see suspicious activity in your gaming room?

LODGING SMRs



Have you had your AML/CTF Program independently reviewed? This is an obligation under the AML/CTF Rules.

INDEPENDENT REVIEW



KEY COMPLIANCE CHECKLIST

Is your venue doing these things?



AML/CTF TRAINING

Have all your gaming floor staff undertaken AML/CTF awareness training?



AML/CTF COMPLIANCE OFFICER AWARENESS

Does your AML/CTF Compliance Officer understand the AML/CTF obligations? Do they need additional training?



TRANSACTION MONITORING

Are you monitoring your gaming room transaction activity/money-in for unusual trends?



RISK ASSESSING NEW TECHNOLOGY

Are you conducting a ML/TF risk assessment each time you introduce new technology for your gaming machines?